

HAMBLETON DISTRICT COUNCIL

Report to: Scrutiny Committee
17 November 2016

Subject: **REVIEW OF RISK MANAGEMENT**

All Wards

1.0 PURPOSE AND BACKGROUND:

- 1.1 The Council has a robust risk management process in place which includes a comprehensive risk register. The register captures all risks identified as presenting a threat or opportunity which may impact the Council's service delivery. At Hambleton District Council, risks on the register are recognised as being either strategic or operational in nature; operational risks are those affecting individual services and tend to involve the day to day running of those services, whilst strategic risks affect the whole Council and are wide reaching, both in terms of timescales and potential impact.
- 1.2 All risks are reviewed by the relevant service on a quarterly basis to reflect upon their appropriateness and the adequacy of the mitigating action plan. Strategic risks and high level operational risks are also reviewed by Management Team and Heads of Service.
- 1.3 To ensure that strategic risks are appropriately managed and that suitable actions are undertaken to mitigate the effect of each risk, Scrutiny Committee receive a quarterly report to monitor these. Audit, Governance and Standards Committee also receive reports on strategic risks on an ad-hoc basis only if a change occurs.
- 1.4 The Council's risk management process was audited during 2016/17 Q1 and as a result two key improvements have been introduced. A complete review of the Council's Risk Register was undertaken during Q2 in order to :
 - a) ensure all risks of a strategic nature are properly identified
 - b) determine those risks pertaining specifically to Council projects

These improvements will enable future reporting to provide additional focus by clearly distinguishing Project risks, for enhanced monitoring, whilst also providing greater recognition and consequent management of key strategic risks.

- 1.5 Two Annexes are provided for review at Q2.

Annex A Project Strategic Risks and Strategic Risks 2016/17 Q2

Annex B Project Strategic risks and Strategic Risks 2016/17 Q2 highlighting the changes that have occurred during the quarter such as risks that have been closed, those with altered elements and new risks that have been identified

2.0 RISK MANAGEMENT:

- 2.1 There are no risks associated with the recommendations of this report.

3.0 RECOMMENDATIONS:

- 3.1 It is recommended that the Committee review the Annexes provided with the identified Project Strategic risks and the Strategic risks affecting the Council together with the actions that are in place to mitigate their potential impact.

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Background Papers: Internal Audit Report - Annual Review of the Risk Management Strategy
Department Quarterly Risk Register Review

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